

SAINTGITS COLLEGE OF APPLIED SCIENCES

PG DEPARTMENT OF COMMERCE

QUESTION BANK

Semester III

Financial Markets & Operations

Section A

Module 1

1. What is Capital Market?
2. What is Call Money?
3. What is zero coupon bond?
4. What do you mean by financial system?
5. What are financial systems?
6. What are financial instruments?
7. What is a primary market?
8. Define secondary market?
9. What is money market?
10. What is an equity share?
11. What are debentures?
12. What are preference shares?
13. What are fixed rate bonds?
14. What are floating rate bonds?
15. What is an NBFC?
16. What is notice money?
17. What are commercial bills?
18. What are RBI repos?
19. What is GILT edged securities?
20. What do you mean by Cumulative preference shares?

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21. What is money at call?
 22. What is treasury bill?
 23. State the objectives of SEBI?
 24. What is REPO?
 25. What is commercial papers?
 26. What is certificate of Deposit?
 27. What is sweat equity?
 28. What are deep discount bonds?
 29. What is convertible preference shares?
 30. What is corporate bonds ?
 31. What are primary instruments?
 32. What are financial services?
 33. What is savings and Investment?
 34. What is industrial securities market?

Module 2

35. What is a public issue?
36. What is Rights issue?
37. What is underwriting?
38. What is IPO?
39. What is FPO?
40. Who is a Lead Manager?
41. What is a follow on Issue?
42. What is bonus issue?
43. What is prospectus?
44. What is ESOP?
45. What is a depository receipt?
46. What is ASBA?
47. What is book building?
48. Who are merchant bankers?
49. Who are intermediary in new issue market?
50. What do you mean by private placement?
51. What is under subscription?
52. Who is a bankers to the issue?
53. What are shares with differential voting rights?

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54. Who is a Banker to the issue in a primary market?
 55. What do you mean by ESOP?
 56. What is greenshoe option?
 57. What is an IPO?
 58. What do you mean by underwriting?
 59. Differentiate between rights issue and bonus issue?

Module 3

60. What do you mean by a secondary market?
61. What is a stock exchange?
62. Who is a speculator?
63. Who is a bull?
64. What are depositories?
65. Who is a depository participant?
66. Who are Arbitrageurs?
67. Who is a broker?
68. Explain Kerb Trading?
69. What is SENSEX?
70. What is market rigging?
71. What is listing of shares?
72. What is market index?
73. What is WDM segment?
74. What is capital market segment?
75. What is listing of securities ?
76. Write two advantages of listing ?
77. Who is a lameduck?
78. What is wash sales?

Module 4

79. Define mutual funds
80. Write any two features of mutual fund
81. What is open end scheme in mutual fund
82. What is fund of funds?
83. What is off shore funds?
84. What is ETF?

85. What is NAV?

Module 5

86. Define derivatives.

87. What is forward contract

88. What is a commodity derivative

89. What is initial margin?

90. What is maintenance margin?

91. What is variation margin?

92. What is spot price?

93. Name three commodity exchanges of India?

Section B

Module 1

94. Differentiate between money market and capital market. (CO1)

95. Draw a chart of Indian financial system (CO1)

96. Explain the constituents of Indian money market? (CO1)

97. Distinguish between commercial papers and certificates of deposits?

98. Explain in details Indian money market. (CO1)

99. Illustrate the important capital market instruments? (CO1)

100. What are the defects of Indian money market?

101. SEBI is the backbone of Indian Securities market, and discusses the various functions of SEBI. (CO1)

102. Construct an idea on the importance of Money Market instruments and briefly explain any two important money market instruments. (CO1)

103. Discuss the important constituents of Indian Financial Market (CO1)

Module 2

104. Determine the important intermediaries in new issue market. (CO2)

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105. Briefly explain the terms: New Issue, Public Issue, Rights Issue and Bonus Issue (CO2)
 106. Explain the book building process in pricing of the securities. (CO2)
 107. Discuss the functions of new issue market. (CO2)
 108. Differentiate between primary market and secondary market (CO2)
 109. Illustrate the term "IPO". Discuss any two methods of floating IPO shares. (CO2)
 110. Illustrate the steps involved in Private Placement of Securities. (CO2)

Module 3

111. Explain any 3 speculative transactions.
112. Explain different types of speculators.
113. Write short note on BSE
114. Write short note on NSE
115. Explain the operation of NSE
116. Differentiate between primary market and secondary market.
117. Explain the requirements of listing

Module 4

118. Write the advantages and disadvantages of mutual funds?
119. Explain the objectives of mutual funds.
120. Explain the scheme of operation of mutual funds.
121. What is ETF? Explain its types.
122. Explain the structure of mutual fund.

Module 5

123. Explain the advantages of derivatives
124. Explain the limitations of derivatives
125. Distinguish between forwards and futures
126. What are options? Explain its features and types.
127. What are swaps? Explain its types.
128. Differentiate between options and swaps

Section C

Module 1

- 129. Give an outline on the money market? Briefly explain the important money market instruments in India.(CO1)
- 130. Explain the term Financial System in India and illustrate its compositions.(CO1)
- 131. Asses the capital market? Comprehense about the important capital market instruments?(CO1)
- 132. Explain about the Indian Financial System. (CO1)
- 133. Discuss the objectives and functions of SEBI.(CO1)
- 134. "The role of SEBI is regulatory and developmental." Discuss.(CO1)

Module 2

- 135. Explain various methods of floating new issue. (CO2)
- 136. Explain various intermediary roles in primary market.(CO2)
- 137. Describe the important innovative financial instruments (CO2)
- 138. Compare the importance of Primary Market & Secondary Market in india. IPO is a type of Primary Market offering, explain (CO2)
- 139. Construct an outline on the primary market and discuss the important features of the primary market. (CO2)
- 140. Explain the terms: Book Building Process, Depository Receipts, ASBA and Greenshoe Option. (CO2)

Module 3

- 141. Explain the Role And functions of stock exchanges.
- 142. Explain the process of trading in stock exchanges.
- 143. Explain speculative transactions

Module 4

- 144. Explain the classifications of mutual funds.
- 145. Explain the structure and management of mutual funds .

Module 5

- 146. Explain the types of derivatives.
- 147. Explain the features of derivatives.

