

DEPARTMENT OF COMMERCE
QUESTION BANK FOR B COM

FINANCIAL MARKETS AND OPERATIONS

MODULE I CO1- Outline the Indian financial system. (Understand)

SECTION A

1. Briefly explain Capital Market. (CO1)
2. Illustrate Call Money Market. (CO1)
3. Explain zero coupon bond. (CO1)
4. Summarise the configuration of Indian financial system. (CO1)
5. Explain the term financial instrument. (CO1)
6. Explain financial instrument. (CO1)
7. Elucidate Money market. (CO1)
8. Illustrate financial market. (CO1)
9. Describe capital market. (CO1)
10. Expand IPO. (CO1)

SECTION B

11. Classify financial market. (CO1)
12. Outline the different types of debentures. (CO1)
13. Narrate the different types of preference shares.



14. Differentiate between money market and capital market. (CO1)
15. Draw a chart of Indian financial system (CO1)
16. Explain the constituents of Indian money market? (CO1)
17. Illustrate the important innovative financial instruments. (CO1)
18. Explain the components of Indian Financial Market. (CO1)

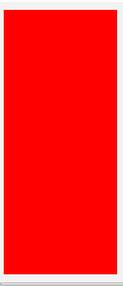
SECTION C

19. Give an outline on the money market? Briefly explain the important money market instruments in India. (CO1)
20. Explain the term Financial System in India and illustrate its compositions. (CO1)
21. Describe the term Indian Financial System and explain its composition. (CO1)
22. Explain the Innovative Financial Instruments in Indian market.

MODULE 2 PRIMARY MARKET CO2- Classify primary market instruments. (Applying)

SECTION A

1. Describe Primary Market (CO2)
2. Explain Underwriting (CO2)
3. Illustrate letter of offer (CO2)
4. Describe prospectus (CO2)
5. Explain ASBA (CO2)
6. Illustrate the public issue of shares. (CO2)
7. Briefly explain the role of underwriter in the fresh issue of shares. (CO2)



8. Extend the role of bonus issue in first issue of shares. (CO2)
9. Explain the process of over-allotment option. (CO2)
10. Differentiate between Rights Issue and ESOP in fresh issue of shares. (CO2)

SECTION B

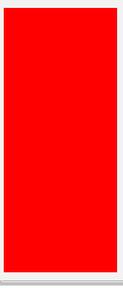
11. Outline the functions of new issue market. (CO2)
12. Describe the steps in book building process. (CO2)
13. Elucidate the various modes of issuing securities. (CO2)
14. Illustrate the steps involved in Private Placement of Securities. (CO2)
15. Illustrate the term "IPO". Discuss any two methods of floating IPO shares. (CO2)
16. Discuss the functions of new issue market. (CO2)
17. Describe any four intermediaries in primary market. (CO2)
18. Explain "Issue through Prospectus". (CO2)

SECTION C

19. Explain various methods of floating new issue. (CO2)
20. Explain various intermediary roles in primary market. (CO2)
21. Describe the important intermediaries in the new issue market and their role in issue management.
22. Elucidate the terms Private placement and Issue through prospectus.

MODULE 3 SECONDARY MARKET CO3-Explain the trading pattern in secondary market. (Understanding)

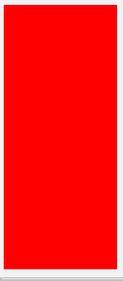
SECTION A



1. Explain secondary market. (CO3)
2. Describe listing. (CO3)
3. Outline dematerialization. (CO3)
4. Explain Wash sales. (CO3)
5. Expand DEMAT. (CO3)
6. Describe any two types of speculators in secondary market. (CO3)
7. Illustrate the role of arbitrage in secondary market. (CO3)
8. Explain Index in stock exchange. (CO3)
9. Elucidate wash sales process in secondary market. (CO3)
10. Explain the process of rigging the market. (CO3)

SECTION B

11. Explain any 3 speculative transactions in secondary market. (CO3)
12. Differentiate between primary market and secondary market. (CO3)
13. Illustrate the intermediaries in secondary market. (CO3)
14. Describe Online Trading. (CO3)
15. Elucidate the following terms in one or two sentences
(a) Jobber (b) Bull (c) Bear. (CO3)
16. Explain the procedure of listing. (CO3)
17. Describe the functions of depository. (CO3)
18. Describe the Speculators in Secondary market. (CO3)



SECTION C

19. Explain the Role and functions of stock exchanges. (CO3)
20. Explain the process of trading in stock exchanges. (CO3)
21. Describe the settlement procedure of various kinds of transaction in a stock exchange. (CO3)

22. Explain stock Exchange and describe about the two important stock exchanges in India. (CO3)

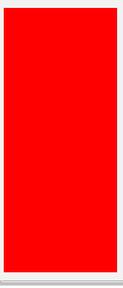
MODULE 4 MUTUAL FUNDS COI- Compare Mutual Funds. (Analyzing)

SECTION A

1. Describe Mutual Fund. (CO4)
2. Briefly explain open ended Scheme (CO4)
3. Expand MMMF's? (CO4)
4. Briefly explain NAV with regard to Mutual Funds? (CO4)
5. Briefly explain close ended scheme? (CO4)
6. Explain the Fund of Fund Mutual fund scheme. (CO4)
7. Explain the interval scheme of operation of mutual fund. (CO4)
8. Illustrate the Exchange Traded Funds of Mutual Funds. (CO4)
9. Explain the Growth mutual fund scheme. (CO4)
10. Explain the portfolio classification of mutual funds. (CO4)

SECTION B

11. Describe the advantages and disadvantages of mutual funds? (CO4)



12. Explain the objectives of mutual funds. (CO4)
13. Illustrate the scheme of operation, classification of mutual funds. (CO4)
14. Classify the types of Mutual Funds. (CO4)
15. Compare open –ended and close- ended schemes. (CO4)
16. Scrutinize the terms; NAV, Repurchase, Lock in Period. (CO4)
17. Explain any four portfolio classification of mutual funds. (CO4)
18. Describe the important constitution of mutual fund in India. (CO4)

SECTION C

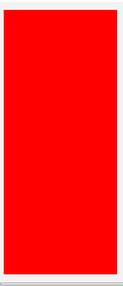
19. Explain the classifications of mutual funds. (CO4)
20. Explain the structure and management of mutual funds. (CO4)
21. Explain the organizational set up of Mutual Funds in India.
22. Elucidate the NAV of mutual Funds. Explain the Portfolio classification of mutual funds.

MODULE 5 DERIVATIVES

CO5-Elucidate derivatives. (Understanding)

SECTION A

1. Define derivatives. (CO5)
2. Illustrate forward contracts in financial market. (CO5)
3. Explain the commodity derivative. (CO5)



4. Illustrate Spot Price in Derivatives Contract. (CO5)
5. Illustrate initial margin. (CO5)
6. What do you mean by SWAP? (CO5)
7. Explain Derivatives. (CO5)
8. What is an Option? (CO5)
9. What is Hedging? (CO5)
10. What is an American Option? (CO5)

SECTION B

11. Explain the advantages of derivatives. (CO5)
12. Explain the limitations of derivatives. (CO5)
13. Distinguish between forwards and futures. (CO5)
14. What is options? State the different types of options. (CO5)
15. Explain Put option and Call option. (CO5)
16. What are Derivatives? Explain its importance. (CO5)
17. What are the benefits of SWAP? (CO5)
18. Compare future and Option. (CO5)

SECTION C

19. What are the different kinds of Financial Derivatives? (CO5)
20. Describe Financial Derivatives. (CO5)
21. Classify derivatives. (CO5)
22. Explain the features of derivatives and types of derivatives contract. (CO5)

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